



## CONFIRMATION OF NO RECOMMENDATION

Western Wealth Management, LLC **has not** recommended that you roll over your retirement plan assets into an Individual Retirement Account (“IRA”) to be managed by Western Wealth Management, LLC. The rollover transaction was: (1); directed solely by you, and (2) unsolicited, meaning not based on a recommendation made by Western Wealth Management, LLC or any of its investment adviser representatives.

By executing this document below, I (We), on behalf of myself (ourselves), and each of my (our) dependents, heirs, executors, and assigns, hereby forever release and hold harmless Western Wealth Management, LLC, and each of its officers, directors, employees, and agents, from any and all claims resulting from my (our) direction to roll over my (our) retirement assets into an account to be managed by Western Wealth Management, LLC.

## ACKNOWLEDGMENT REGARDING ROLLOVER DECISION

1. I have received and reviewed the “**Qualified Plan Rollover Options Brochure**,” as well as “**Form CRS**” provided by Western Wealth Management, LLC and I understand the material discussed.
2. I was given ample time to review this document and to discuss rolling over my Employer Retirement Plan to an IRA, or from an IRA to another IRA, with professional advisers of my choosing other than Western Wealth Management, LLC (i.e., an accountant, Third Party Administrator, another Registered Investment Adviser, or legal counsel), to the extent I consider necessary.
3. I have independently or with reliance on one of the professionals discussed in Item 2 above made a decision to roll over my assets to an IRA managed by Western Wealth Management, LLC.
4. I understand that I am under no obligation to roll over my Employer Retirement Plan or IRA assets to an IRA managed by Western Wealth Management, LLC.
5. I have decided to roll over my assets to an IRA managed by Western Wealth Management, LLC.

**BY SIGNING BELOW, I CERTIFY THAT I HAVE READ THIS ACKNOWLEDGMENT, THAT I KNOW AND UNDERSTAND THE MEANING AND INTENT OF THIS ACKNOWLEDGMENT, AND THAT I AM MEMORIALIZING THIS ACKNOWLEDGMENT KNOWINGLY AND VOLUNTARILY.**

**Client:**

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name

**Western Wealth Management, LLC:**

\_\_\_\_\_  
Advisor Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name